

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED

In re the Application of:

Rodney J. Kempkes and Mark J. Pettay

Serial No.: 09/849,777

Filed: May 4, 2001

For: System, Method and Business Method

for Settling Micropayment

Transactions to a Prepaid Instrument

Group Art Unit: 2182

AUG 0 3 2001

Technology Center 2100

Examiner:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

July 30,2001

🗸 Angela D. Janke

mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required. \boxtimes However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR §1.17(p) to the deposit account referenced below. However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required. This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311. The fee due under 37 CFR § 1.17(p) is submitted herewith. A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received before a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below. This IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A statement under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted herewith. STATEMENT UNDER 37 CFR § 1.97(e): Each item contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. No item contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:					
A check in the amount of is enclosed for t	A check in the amount of is enclosed for the above fee(s).				
Please charge to Deposit Account No. 50-	1880 for the above fee(s).				
The Commissioner is authorized to charge any fees required by the filing of these papers, and to					
credit any overpayment to West Corporation's Deposit Account.					
	Respectfully submitted,				
	WEST CORPORATION				
	AATT D				
Dated: 30 Jul 01 By:	Halland				
Bated By.	Rocco L. Adornato				
•	Reg. No. 40,480				

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PATENT & TRADEMARK OFFICE

WEST CORPORATION 11808 Miracle Hills Drive Omaha, NE 68154

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HYFUI	FORMATION DISCLOSURE		Filing Date	May 4, 2001		
'STAT	EME	NT BY APPLICANT	First Named Inventor	Rodney J. Kempkes		
			Group Art Unit	2182		
(use as m	nany sheets as necessary)	Examiner Name			
Sheet	1	of 1	Attorney Docket Number	PAT-007		
		OTHER PRIOR ART NO	N PATENT LITERATURE I	DOCUMENTS		
Examiner Initials *	Cite No.1	the item (book, magazine, journal	CAPITAL LETTERS), title of the article (when appropriate), title of nal, serial, symposium, catalog, etc.), date, page(s), volume-issue publisher, city and/or country where published.			
	AT	ROBERT McGARVEY, Small Change – Pages 18-21	Micropayments Enable Teensy Con	tent Purchases, EContent, July 2001,		
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Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.